

PROCEDURE 8: DEALING WITH LATE CAB SUBMISSIONS AND FAILURE TO SUBMIT REQUESTED INFORMATION

PURPOSE

To outline the processes for the FSAB's response to late document submissions from CABs. This procedure is intended to encourage applicant CABs to provide on-time submissions and responses and to clarify the ramifications of late compliance.

SCOPE

This procedure applies to all CAB applications to be reviewed by an ARC, as well as any ARC Chair requests for additional documentation and information during the assessment process.

NOTIFICATION PROCESS

If a delinquent application submission or failure to timely respond to requests for additional information that are likely to delay the accreditation decision, the ARC Chair will notify the FSAB President so that the President can notify the CAB of the potential consequences.

PENALTIES

The following penalties may be imposed on the CAB for late delivery¹ of their application² or any documents requested throughout the review process. These penalties shall also be imposed if the CAB fails to respond to additional requests for documentation and information by the ARC Chair on behalf of the ARC, within the ARC's requested time period or in a reasonable time period thereafter.

During the three-month period following the deadline or a 10 business day extension, if granted, a penalty of \$150/week shall be imposed on the CAB.

If the application is more than three months late from the date of the application or from an approved 10 business day extension, the ARC Chair may recommend to the Board:

1. The initial accreditation application shall not be reviewed until the next application year, or
2. The application for reaccreditation shall not be reviewed, and the CAB shall lose its accreditation at the end of the current five-year cycle. A new application for accreditation must be filed after the current accreditation has expired.

Notification of the penalty to be imposed shall be communicated to the CAB by the President. A CAB may request a variance in the penalty structure by submitting an explanation in writing, which the Executive Committee shall review. The Executive Committee, based upon objective criteria, may grant or deny such a variance. The CAB may appeal the Executive Committee's decision to the Board.

¹ Applications for accreditation must be received by May 1 of the year prior to the year of accreditation. The submission date is the date the application is received by the Administrative Office.

² A one-time automatic, 10 business day deadline extension may be granted by the ARC upon written request from the CAB.

DOCUMENT RETENTION AND ARCHIVING

All written communications and records of all verbal contacts regarding sanctions between the ARC, the FSAB, and the CAB shall be preserved and forwarded to the Administrative Manager to be archived.